# RECEIVED CENTRAL FAX CENTER

APR 1 1 2005 FORMAL,

IN RE APPLICATION N	UMBER:09/960,749
TRANSMITTAL	COVER LETTER FOR FACSIMILE TRANSMISSION
PLEASE DELIVER THE	FOLLOWING PAGES TO:
Hon P.O <b>Al</b> e	1 Stop Amendment 1. Commissioner for Patents 2. Box 1450 2. Examinar Jessica R. Baxter 3. Group Art Unit 3731
FACSIMILE NUMBER:	(703) 872-9306
	Edward M. Arons Registration Number 44,511 FISH & NEAVE IP GROUP ROPES & GRAY LLP 1251 Avenue of the Americas New York, New York 10020-1105 Tel.: (212) 596-9000 Fax.: (212) 596-9090
CLIENT/MATTER NO	000593.0005
CERTI	FICATE OF FACSIMILE TRANSMISSION
transmitted to the tshown below.  April 11, 2005	fy that this paper is being facsimile J.S. Patent and Trademark Office on the date
Date	Edward M. Arons
DATE: 4-11-05	FACSIMILE OPERATOR: Keelman
THIS COMMUNICATION IS INT INFORMATION THAT IS PRIV RECIPIENT, YOU ARE HEREI COMMUNICATION IS STRICTL ERROR, PLEASE NOTIFY US II	ENDED ONLY FOR THE USE OF THE ADDRESSEE AND MAY CONTAIN VILEGED AND CONFIDENTIAL. IF YOU ARE NOT THE INTENDED BY NOTIFIED THAT THE UNAUTHORIZED DISSEMINATION OF THE LY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN MMEDIATELY BY TELEPHONE.
PLEASE ACKNOWLEDGE S	SAFE RECEIPT OF THIS TRANSMISSION BY SIGNING COVER SHEET TO US BY FACSIMILE.
WE ACKNOWLE	DGE SAFE RECEIPT OF THIS TRANSMISSION
SIGNED:	DATE:
	LEASE CALL BACK AS SOON AS POSSIBLE ) 596-9300 (ASK FOR "FAX OPERATOR")

## RECEIVED CENTRAL FAX CENTER

APR 1 1 2005

PATENTS 593/005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### PATENT APPLICATION

Applicant

: Thomas E. Borillo et al.

Application No. : 09/960,749 Confirmation No. 5029

Filed

: September 21, 2001

For

: APPARATUS FOR IMPLANTING DEVICES

IN ATRIAL APPENDAGES

Group Art Unit

: 3731

Examiner

: Jessica R. Baxter

New York, New York April 11, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following patent documents of record in the above-identified patent application:

#### U.S. PATENT DOCUMENT

5,312,341

Turi

May 17, 1994

#### FOREIGN PATENT DOCUMENT

CN 2105968U

China

June 3, 1992

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate).

Chinese Publication No. CN 2105968U is in the Chinese language. Accordingly, applicants enclose

herewith a copy of a complete English language translation of the publication.

This information disclosure statement is being mailed after the mailing of a first Office action on the merits of the application, but, applicants believe, before the mailing date of an action that closes prosecution in the application. Accordingly, applicants state, pursuant to 37 C.F.R. §§ 1.97(c)(1) and (e)(1) that the aforementioned documents were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. Applicants believe that no fee is due in connection with this Statement. However, if any fee is due, the Director is hereby authorized to charge such fee to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed herewith.

It is respectfully requested that the documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of the enclosed Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

A favorable action is respectfully requested.

Respectfully submitted,

Edward M. Arons

Reg. No. 44,511

Attorney for applicants

Fish & Neave IP Group

Ropes & Gray LLP

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1105

Tel.: (212) 596-9000

PATENTS 593/005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION

Applicant

: Thomas E. Borillo et al.

Application No. : 09/960,749 Confirmation No. 5029

Filed

: September 21, 2001

For

: APPARATUS FOR IMPLANTING DEVICES

IN ATRIAL APPENDAGES

Group Art Unit

: 3731

Examiner

: Jessica R. Baxter

New York, New York April 11, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following patent documents of record in the above-identified patent application:

#### U.S. PATENT DOCUMENT

5,312,341

Turi

May 17, 1994

### FOREIGN PATENT DOCUMENT

CN 2105968U

China

June 3, 1992

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate).

Chinese Publication No. CN 2105968U is in the Chinese language. Accordingly, applicants enclose

herewith a copy of a complete English language translation of the publication.

This information disclosure statement is being mailed after the mailing of a first Office action on the merits of the application, but, applicants believe, before the mailing date of an action that closes prosecution in the application. Accordingly, applicants state, pursuant to 37 C.F.R. §§ 1.97(c)(1) and (e)(1) that the aforementioned documents were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. Applicants believe that no fee is due in connection with this Statement. However, if any fee is due, the Director is hereby authorized to charge such fee to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed herewith.

It is respectfully requested that the documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of the enclosed Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

A favorable action is respectfully requested.

Respectfully submitted.

Edward M. Arons

Reg. No. 44,511

Attorney for applicants

Fish & Neave IP Group

Ropes & Gray LLP Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1105

Tel.: (212) 596-9000

PTO/SB/08a/b (+8-03) Approved for use through 07/31/2006. OMB 0851-0031

Approved for use through 07.	10112200.	UMD 0001 403
U.S. Patent and Trademark Officer U.S. DEPA	ARTMENT A	OF COLUMNICO
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains		

Substitu	te for form 1449A	VB/PTO		Complete if Known		
				Application Number	09/960,749	
INF	ORMATI	ION DISC	LOSURE	Filing Date	September 21, 2001	
STA	STATEMENT BY APPLICANT			First Named Inventor	Thomas E. Borillo	
	***			Arl Unit	3731	
	(Use as many sheets as necessary)		Examiner Name	Jessica R. Baxter		
Sheet	1	of	1	Attorney Docket Number	593/005	

	U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (#known)	Publication Date MIM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pessages or Relevant		
		5,312,341	05-17-1994	Turi	Figures Appear		
-							
_							

		FOREK	GN PATENT	DOCUMENTS		
Examiner Cite		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columne, Lines,	T –
Inittats*	No.	Country Code <sup>3</sup> -Number'-Kind Code <sup>6</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevent Passages or Relevent Figures Appear	۳
		CN 2105968U	06-03-1992	PLA Hospital No. 251		x
						-
L.						-

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	·1-2		

Examiner	Date	
Signature		dered
	1001150	neten l

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not inconformance and not considered, include copy of this form with next communication to applicant. 1 Applicant's unique distation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspro.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). 4 For Jepanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by \$7 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to procees) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burder, should be sent to the Chief Information Officer, U.S. Patont and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/08a/b (08-03)

Approved for use through 07/81/2008. OMB 0951-00 vi.

U.S. Patent and Tradement Office; U.S. DEPARTMENT OF COMMERC E

Under the Paperwerk Reduction Act of 1996, no parsons are required to respond to a collection of information untrase it contains a valid OMB control number.

Subs	titute for form 1449A/	B/PTO		Complete if Known		
				Application Number	09/960,749	
1M	Information disclosure Statement by applicant			Filing Date	September 21, 2001	
\$1				First Named Inventor	Thomas E. Borillo	
				Art Unit	3731	
	(Use as many sheets as nocessary)		Examiner Name	Jessica R. Baxter		
Sheet	1	of	1	Attorney Docket Number	693/005	

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials"	No.1	Number-Kind Code <sup>2</sup> ( # known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Pessages or Relevant Figures Appear
		5,312,341	05-17-1994	Turi	

	~	FOREI	GN PATENT	DOCUMENTS		_
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevent Passages or Relevent Figures Appear	T°
		CN 2105968U	06-03-1992	PLA Hospital No. 251		X

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
-			

Examiner	Date	1
Signature	Considered	
	Considered	1 .

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by this public which is to tile (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sont to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1480. Alexandria, VA 22313-1450.

if you need assistance in complating the form, call 1-800-PTO-9199 and select option 2.

<sup>&</sup>quot;EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Petent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here if English language Translation is attached.